

## Agenda item 2.5

Paragraph 10 of the annotated agenda

# Outcomes of AP 79

**CDM EB 97**

Bonn 30 October to 3 November 2017



## Summary: AP 79

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The CDM-AP held its 79<sup>th</sup> meeting from 11 to 13 October 2017 in Bonn, Germany.

The CDM-AP considered 33 cases, of which 18 have been submitted to the Board.

Activity	Cases to be considered by the EB	Annotated agenda para. no.
Re-accreditation	1	14 (a)
Regular surveillance	7	14 (b) and (c)
Performance assessment	8	14 (d)
Other issues	2	14 (e)



### The CDM-AP:

- a) Took note of a report on launching performance assessments constraining the verification activities suitable for performance assessments and recommended that the Board provide a mandate to review the relevant accreditation requirements with a view to enable, as applicable, options and modalities for performance assessments of verification activities.
- b) Considered the availability of public information on DOEs and recommended to the Board to:
  - i. Increase transparency on DOEs' profile page by making more information available,
  - ii. Clearly indicate on the accredited entity list page the accreditation and withdrawal status.



The CDM-AP (cont...):

- c) Interacted with a group of 16 lead assessors and trainee lead assessors from the CDM accreditation roster of experts (ARoE) to facilitate the exchange of views on the implementation of the CDM accreditation requirements and consult on requests for clarifications on the CDM accreditation standard (version 06).



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## Recommendations:

- a) Take note of this presentation;
- b) Take note of the meeting report of the 79<sup>th</sup> Accreditation Panel meeting;
- c) Recall that accreditation cases for presentation at this meeting must be requested by the end of the day, otherwise the course of action recommended by the AP will be adopted.



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# Operational matters limiting launching of on-site performance assessments

**CDM-EB97**

Bonn, Germany, 30 October to 3 November 2017



## Background

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The “**CDM accreditation procedure**” and the “**CDM validation and verification standard for project activities (VVS)**” contain provisions for performance assessments and on-site inspection that may be constraining the number of verification activities suitable for performance assessments.

The VVS ver 1.0 (entered into force on 1 June 2017) provides conditions under which **a DOE may opt out of conducting an on-site inspection** during a verification activity (para 343).

DOEs are availing themselves of this option which is further **constraining the verification activities suitable for performance assessments**.



## Background ...cont.

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**VVS V01.0, para 343.** For cases that are not referred to in paragraph 342, it is optional for the DOE to conduct an on-site inspection at verification. If the DOE does not conduct an on-site inspection as a means of verification, it shall describe the alternative means used and justify that they are sufficient for the purpose of verification.

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**VVS V01.0, para 342.** It is mandatory for the DOE to conduct an on-site inspection at verification for the registered CDM project activity if:

- (a) It is the first verification for the DOE with regard to this project activity;
  - (b) More than three years have elapsed since the last on-site inspection conducted for verification for the project activity; or,
  - (c) The project activity has achieved more than 300,000 t CO<sub>2</sub> eq of GHG emission reductions or net anthropogenic GHG removals since the last verification when an on-site inspection was conducted.
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## Background...cont.

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**ACCPROC V13.0, para 88.** *A performance assessment on a verification/certification activity shall be based on the observation of the verification carried out by the DOE team at the **project activity site(s)** and the evaluation of a draft verification report and other documentary evidence.....*

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## Recommendation

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The CDM-AP recommended that the Board provide a mandate to review the relevant accreditation requirements with a view to enable, as applicable, options and modalities for performance assessments of verification activities.



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# Website content relevant to accreditation

**CDM-EB97**

Bonn, Germany, 30 October to 3 November 2017



## CDM-AP 79 recommendation (paragraph 9.0)

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The CDM-AP at its 79<sup>th</sup> meeting considered the publicly available information regarding DOEs and made recommendations for consideration by the Board.

The CDM-AP recommends that the Board decide to:

- (a) Increase transparency on **DOEs' profile page** by making more information available, inter alia, the expiry date, re-accreditation dates, and withdrawal dates easily accessible.
  
- (b) Clearly indicate on the **AEs (Applicant Entities) list page**:
  - (i) Accreditation status;
  - (ii) Withdrawal status.




# Public lists of AEs and DOEs

← → ↻ Secure | https://cdm.unfccc.int/DOE/index.html

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## Designated Operational Entities



**What is a designated operational entity?**

A designated operational entity (DOE) is an independent auditor accredited by the CDM Executive Board (CDM EB) to validate project proposals or verify whether implemented projects have achieved planned greenhouse gas emission reductions.

[More](#)

**How to become a DOE?**

Overview [diagramme](#) on how to become a DOE

To become a DOE an organisation has to:

- + Ensure that it complies with the requirements of the [CDM Accreditation Standard](#) for DOE
- + Pay the application fee
- + Submit the required application forms and supporting documentation
- + Be assessed by the CDM Assessment Team, CDM Accreditation Panel and CDM EB

[More](#)

**List of AEs**

The CDM accreditation procedure provides an opportunity for the Parties, accredited NGOs and stakeholders to provide input on the applicant entities seeking accreditation with the CDM EB. The following page lists all applicant entities and allows to submit public input on the new applicant entities and to view the inputs received in the past. [more »](#)

**List of DOEs**

The Executive Board, in accordance with paragraph 20 of the [CDM modalities and procedures](#), shall maintain a publicly available list of designated operational entities. The following page will provide you with the list of accredited and provisionally designated DOEs for validation functions. These entities are accredited for the sectoral scopes shown below in the table and qualify to submit requests for project registration and issuance of CERs in these sectoral scopes. [more »](#)

**Contact**

Potential applicant entities should send email to [cdm-accreditation@unfccc.int](mailto:cdm-accreditation@unfccc.int)



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# Issue 1 (limited information on the lists of AEs and DOEs)

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## List of DOEs

The Executive Board, in accordance with [paragraph 20 of the CDM modalities and procedures](#), shall maintain a publicly available list of designated operational entities. The table below provides the list of accredited and provisionally designated operational entities for validation functions. These entities are accredited for the sectoral scopes shown below in the table and qualify to submit requests for project registration in these sectoral scopes.

[Methodologies linked to sectoral scopes](#)

Ref. Number	Entity	Sectoral scopes for validation	Sectoral scopes for verification and certification
E-0001	<a href="#">Japan Quality Assurance Organisation (JQA)</a>	1, 3-5, 10, 13, 14	1, 3-5, 10, 13, 14
E-0006	<a href="#">Deloitte Tohmatsu Sustainability, Co., Ltd. (DTSUS)</a>	1-3, 5, 10, 12, 13, 15	1-3, 5, 10, 12, 13, 15
E-0009	<a href="#">Bureau Veritas India Pvt. Ltd. (BVI)</a>	1-15	1-15
E-0011	<a href="#">Korea Energy Agency (KEA)</a>	1, 3-5, 7, 9, 11-15	1, 3-5, 7, 9, 11-15
E-0016	<a href="#">ERM Certification and Verification Services Limited (ERM CVS)</a>	1, 3-5, 8-10, 13	1, 3-5, 8-10, 13
E-0020	<a href="#">GHD Limited (GHD)</a>	1, 4, 5, 8-10, 12, 13	1, 4, 5, 8-10, 12, 13
E-0021	<a href="#">AENOR INTERNACIONAL, S.A.U. (AENOR)</a>	1-15	1-15
E-0022	<a href="#">TÜV NORD CERT GmbH (TÜV NORD)</a>	1-16	1-16
E-0023	<a href="#">Lloyd's Register Quality Assurance Ltd. (LRQA)</a>	1-13	1-13
E-0024	<a href="#">Colombian Institute for Technical Standards and Certification (ICONTEC)</a>	1-3, 7, 13, 14	1-3, 7, 13, 14
E-0025	<a href="#">Korean Foundation for Quality (KFQ)</a>	1-5, 9, 11, 13, 15	1-5, 9, 11, 13, 15
E-0031	<a href="#">Perry Johnson Registrars Carbon Emissions Services (PJRCS)</a>	1-3, 9, 13 Suspended: 4, 7, 10, 12, 15	1-3, 9, 13 Suspended: 4, 7, 10, 12, 15



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# Issue 2 (limited information on the DOE's profile)


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## Japan Quality Assurance Organisation

<b>Title</b>	E-0100 JPCT
<b>Status</b>	Designated Operational Entity
<b>Scope of accreditation</b>	Validation: 1, 3-5, 10, 13, 14 Verification: 1, 3-5, 10, 13, 14
<b>Declared office(s)</b>	<b>Address:</b> Chibushi, 305034, Tokyo <b>Postal code:</b> 101-8555 <b>City:</b> Tokyo <b>Country:</b> Japan <b>Declaration of other offices (CDM-DOO-FORM):</b>  (b) declaration of other office ver.03 accr_form31.pdf (223 KB) 27.09.2016 18:57:15
<b>Contact persons</b>	Kenji Tamaguchi, global.cdm@jpct
<b>URL</b>	
<b>Long description</b>	

No comments received

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# Issue 2 (limited information on the DOE's profile)

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<b>Contact persons</b>	Kenji Tamaguchi, global.cdm@jpct
<b>URL</b>	
<b>History:</b>	<b>Initial Accreditation (12/08/2002)</b> <b>Re-accreditation (16/01/2007)</b> <b>Re-accreditation (24/06/2011)</b> <b>Re-accreditation (23/09/2016)</b> <b>[Expiry date: 20/07/2020]</b>

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# Proposed solution 2 (enhance information on the list of AE's)

Call availability times are given by Central European time regarded as site time. Current site time is 12:29 12 Oct 17

**Active calls for inputs**

Ref. Number	Entity	Available as of	Deadline for comments	Status of the call	Status of accreditation
<b>Completed calls for inputs</b>					
Ref. Number	Entity	Available as of	Deadline for comments	Status	
E-0067	China Certification Center, Inc. (CCCI)	01 May 14	16 May 14	Closed	Current DOE
E-0066	Earthood Services Private Limited ()	20 Sep 13	05 Oct 13	Closed	Current DOE
E-0065	CTC (CTC)	29 May 13	13 Jun 13	Closed	Current DOE
E-0064	BRTUV Avaliações da Qualidade S.A. (BRTUV)	03 Oct 12	18 Oct 12	Closed	Withdrawn
E-0063	Northeast Audit Co., Ltd. (NAC)	08 Oct 11	23 Oct 11	Closed	Withdrawn
E-0062	EPIC Sustainability Services Pvt. Ltd. (EPIC)	23 Jun 11	08 Jul 11	Closed	.
E-0060	Korean Register of Shipping (KR)	14 Jun 11	29 Jun 11	Closed	.
E-0061	Shenzhen CTI International Certification Co., Ltd (CTI)	10 Jun 11	25 Jun 11	Closed	.
E-0058	Foundation for Industrial Development (MASCI)	25 Jan 11	09 Feb 11	Closed	.
E-0057	IBOPE Instituto Brasileiro de Opinião Pública e Estatística Ltda. (IBOPE)	25 Nov 10	10 Dec 10	Closed	.
E-0056	Korea Testing & Research Institute (KTR)	24 Sep 10	09 Oct 10	Closed	
E-0055	URS Verification Private Limited (URS)	04 Sep 10	19 Sep 10	Closed	
E-0054	re-consult Ltd. (Re-consult)	17 Jul 10	01 Aug 10	Closed	



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## Recommendation to the Board

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The Board may wish to consider recommendations from the CDM-AP regarding the availability of public information on designated operational entities (DOEs) and Applicant Entities (AEs) on the UNFCCC CDM website.

